

(ASA-1074)

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

Page 1 of (1)

PATENT NO. : (7,688,685)
APPLICATION NO.: (10/069,732)
ISSUE DATE : (March 30, 2010)
INVENTOR(S): (H. NEMOTO et al)

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

ON THE TITLE PAGE

Please correct (86) PCT No.: to read as follows:

(86) PCT No.: PCT/JP00/01200

§371(c)(1),
(2), (4) Date: Feb. ~~26~~28, 2002

Mailing Address of Sender:

MATTINGLY & MALUR, P.C.
1800 Diagonal Road, Suite 370
Alexandria, Virginia 22314

Patent No. (7,688,685)

No. of Additional copies

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No.: 7,688,685
Issue Date: March 30, 2010
Applicant H. NEMOTO et al. Confirmation No. 3964
Appln. No. 10/069,732
Filed: February 28, 2002
For: MAGNETO-OPTICAL RECORDING DEVICE CAPABLE OF
CHANGING THE SHAPES OF HEATING AREAS (as amended)
Customer No.: 24956

Director of the U.S. Patent Office
Office of Patent Publications
ATTN: Certificate of Correction Branch
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CERTIFICATE OF CORRECTION
PATENT OFFICE MISTAKE (37 CFR §1.322)

Sir:

Enclosed is a completed Certificate of Correction form. Please issue a Certificate of Correction for the underscored material. The change corresponds to material stamped by the USPTO onto the 1) Serial Number Post Card, and 2) Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) – FORM-PTO-1390 filed on February 28, 2002, copies enclosed.

Since the Certificate of Correction is necessitated by an error on the part of the U.S. Patent and Trademark Office, no fee is submitted herewith. However, should the Patent Office decide that this Request for Certificate Correction requires a fee, the Commissioner is hereby authorized to charge Deposit Account No. 50-1417 (ASA-1074).

Respectfully submitted,

Mattingly & Malur, P.C.

/John R. Mattingly/
John R. Mattingly
Registration No. 30,293
(703) 684-1120

Date: April 21, 2010

ASA-1074

Mattingly, Stanger & Malur, P.C.
1800 Diagonal Road, Suite 370
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(703) 684-1120

In re Patent Application of

H. NEMOTO et al

Serial No.

Filed: February 28, 2002

For: METHOD AND DEVICE FOR INFORMATION RECORDING/
REPRODUCING AND INFORMATION RECORDING MEDIUM

Papers Filed Herewith:

1. Title Page; Specification (42 pp.), 10 Claims,
Abstract;
2. Declaration and Power of Attorney;
3. Check #5693 for \$974.00 (Filing Fee);
4. 12 sheets soft formal drawings (Figs. 1-19);
5. Preliminary Amendment;
6. List of Inventors' Names and Addresses;
7. PTO-1390 Transmittal; and
8. Serial No. postcard.

Receipt is hereby acknowledged of the papers filed, as
identified in connection with the above-identified patent
application.

10/069732
JC07 Rec'd PCT/PTO 28 FEB 2002

COMMISSIONER OF PATENTS AND TRADEMARKS



→ JC07 Rec'd PCT/PTO 28 FEB 2002

FORM PTO-1390
(REV. 5-93)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

ASA-1074

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

10/069732

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)

International Application No.

PCT/JPO0/01200

International Filing Date

March 1, 2000

Priority Date Claimed

Title of invention H. NEMOTO et al (see attached)

Applicant(s) for DO/EO/US **METHOD AND DEVICE FOR INFORMATION RECORDING/REPRODUCING
AND INFORMATION RECORDING MEDIUM**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:



1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

[X] LIST OF INVENTORS' NAMES AND ADDRESSES.

U.S. Application No. <i>(if known, see 37 CFR 1.5)</i> 10/069732		International Application No. PCT/JP00/01200		Attorney's Docket Number ASA-1074	
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<p>17. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p><u>Basic National Fee (37 CFR 1.492 (a)(1)-(5)):</u></p> <p>Search Report has been prepared by the EPO or JPO \$890.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) \$710.00</p> <p>No international preliminary examination fee (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445 (A)(2)) \$740.00</p> <p>Neither international examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(A)(2)) paid to USPTO \$1040.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2) to (4) \$100.00</p> <p style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 890.00</p> <p>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). + \$ 0.00</p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:15%;">Claims</th> <th style="width:15%;">Number Filed</th> <th style="width:15%;">Number Extra</th> <th style="width:15%;">Rate</th> <th style="width:15%;"></th> <th style="width:15%;"></th> </tr> <tr> <td>Total</td> <td>10 -20 =</td> <td>0</td> <td>x \$18.00</td> <td>\$</td> <td>0.00</td> </tr> <tr> <td>Independent</td> <td>4 - 3 =</td> <td>1</td> <td>x \$84.00</td> <td>\$</td> <td>84.00</td> </tr> <tr> <td colspan="4">Multiple dependent claim(s) (if applicable)</td> <td>+ \$280.00</td> <td>\$ 0.00</td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL OF ABOVE CALCULATIONS</td> <td>=</td> <td>\$ 974.00</td> </tr> <tr> <td colspan="4">Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).</td> <td>\$</td> <td>0.00</td> </tr> <tr> <td colspan="4" style="text-align: right;">SUBTOTAL</td> <td>=</td> <td>\$ 974.00</td> </tr> <tr> <td colspan="4">Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</td> <td>+ \$</td> <td>0.00</td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL NATIONAL FEE</td> <td>=</td> <td>\$ 974.00</td> </tr> <tr> <td colspan="4">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.</td> <td>+ \$</td> <td>0.00</td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL FEES ENCLOSED</td> <td>=</td> <td>\$ 974.00</td> </tr> <tr> <td colspan="4" rowspan="2"></td> <td colspan="2">Amount to be:</td> </tr> <tr> <td>Refunded \$</td> <td></td> </tr> <tr> <td colspan="4" rowspan="2"></td> <td colspan="2">Charged \$</td> </tr> <tr> <td colspan="2"></td> </tr> </table> <p>a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>974.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 50-1417 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1417. A duplicate copy of this sheet is enclosed.</p> <p>Note: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p>SEND ALL CORRESPONDENCE TO:</p> <p>MATTINGLY, STANGER & MALUR, P.C.</p> <p>1800 Diagonal Rd., Suite 370</p> <p>Alexandria, Virginia 22314</p> <p>(703) 684 -1120</p> </div> <div style="width: 45%; text-align: center;">  <p>24956</p> <p>PATENT TRADEMARK OFFICE</p> </div> <div style="width: 45%; text-align: center;">  <p>Signature</p> <p>John R. Mattingly</p> <p>Name</p> <p>30,293</p> <p>Registration Number</p> </div> </div>	Claims	Number Filed	Number Extra	Rate			Total	10 -20 =	0	x \$18.00	\$	0.00	Independent	4 - 3 =	1	x \$84.00	\$	84.00	Multiple dependent claim(s) (if applicable)				+ \$280.00	\$ 0.00	TOTAL OF ABOVE CALCULATIONS				=	\$ 974.00	Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$	0.00	SUBTOTAL				=	\$ 974.00	Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+ \$	0.00	TOTAL NATIONAL FEE				=	\$ 974.00	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.				+ \$	0.00	TOTAL FEES ENCLOSED				=	\$ 974.00					Amount to be:		Refunded \$						Charged \$			
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